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		AD14	Application No.	09/8	96,656 -
(to be used for all correspondence after initial filing)		Filing Date	June	29, 2001	
		First Named Inventor	Jian	Li	
			Group Art Unit	2818	}
			Examiner Name	D. H	. Nguyen
Total Number of Pa	ges in This Submis	sion 6	Attorney Docket Number	4239	00P11559
	ENCLO	SURES (chec	k all that apply)		
Fee Transmittal F	orm	Drawing(s)	1		After Allowance Communication to Group
Fee Attach	ed	Licensing-	related Papers		Appeal Communication to Board Appeals and Interferences
Amendment / Res	sponse	Petition			Appeal Communication to Grou (Appeal Notice, Brief, Reply Bri
After Final Affidavits/d	eclaration(s)	Petition to Provisional	Convert a Application		Proprietary Information
Extension of Time	Request	Power of A Change of	attorney, Revocation Correspondence Address		Status Letter
Express Abandonment Request		Terminal Disclaimer		Other Enclosure(s) (please identify below):	
Information Disclo	n Disclosure Statement Request for Refund		- copies of 34 art reference - return receipt postcard	copies of 34 art references	
TO/SB/08	3	CD, Number of CD(s)			1 1
Certified Copy of Document(s)	Priority				
Response to Miss	sing Parts/		- <sub>T</sub>		
	Filing Fee	Remarks			
Declara	ation/POA				
Response Parts unde 1.52 or 1.5	to Missing r 37 CFR 3				
	SIGNATUR	RE OF APPLICA	NT, ATTORNEY, OR A	GENT	
Firm	Gregory D. Ca	ldwell, Reg. N	o. 39,926		
or Individual name	BLAKELY.	SOKOLOFF.	TAYLOR & ZAFM	1AN	LLP
Signature					
- Apple					
Date	September 2,	2003			
	correspondence is	being deposited w			ce on the date shown below with O. Box 1450, Alexandria, VA
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Docket No.: 42390P11559

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

JIAN LI, ET AL.

Application No.: 09/896,656

Filed: June 29, 2001

For:

**DISCRETE POLYMER MEMORY** ARRAY AND METHOD OF MAKING

SAME

Art Group: 2818

Examiner: D. H. Nguyen

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

09/05/2003 KBETEHA1 00000042 09896656

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: September 02, 2003

Gregory D. Kaldwell, Reg. No. 39,926

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

09-02-03

Date

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

**Sheet** 1 **of** 3

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	Con	nplete if Known
	Application Number	09/896,656
	Filing Date	June 29, 2001
	First Named Inventor	Jian Li
	Art Unit	2818
-	Examiner Name	D. H. Nguyen
	Attorney Docket Number	42390P11559

			U.S. PATE	NT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US-2002-0024835 A1	02-28-2002	Thomson et al.	
[	2	US-2002-0000005 A1	01-03-2002	Jermier	
	3	US-2002-0044480 A1	04-18-2003	Gudesen et al.	}
	4	US-4,593,456	06-10-1986	Cheung	
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	6	US-5,939,775	08-17-1999	Bucci et al.	
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	20	US-6,054,331	04-25-2000	Woo et al.	

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Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,	т6	
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>8</sup> thown)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	11	
	21	JP 363 293 729 A	11-30-1988	Yamaguchi et al.			
						-	
					}		

Examiner	Date
Signature	Considered

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>&#</sup>x27;Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.



Sheet

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

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Complete if Known Application Number 09/896,656 Filing Date June 29, 2001 First Named Inventor Jian Li Art Unit 2818 Examiner Name D. H. Nguyen Attorney Docket Number 42390P11559

OTHER ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.'	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
	22	IBM Technical Disclosure Bulletin, Vol. 37 (11), pages 421-424, 1994				

Examiner	Date
Signature	Considered

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<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include

<sup>&#</sup>x27;Applicant's unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 3 of 3

Complete if Known				
Application Number	09/896,656			
Filing Date	June 29, 2001			
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Art Unit	2818			
Examiner Name	D. H. Nguyen			
Attorney Docket Number	42390P11559			

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number  Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	23	US-6,115,281	09-05-2000	Aggarwal et al.	
	24	US-6,171,934	01-09-2001	Joshi et al.	
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Patent Document			Pages, Columns, Lines,		
Initials*	No.'	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <i>fif known)</i>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear		
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